Attorney Docket No: U001 (BPAY.0040001)

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Timothy L. Robinson

Serial No.:

09/765,789

Examiner:

Paul A. Bell

Confirmation No.:

1385

Art Unit:

3628

Filed:

1/19/2001

For:

SYSTEM AND METHOD FOR BIOLOGICAL AUTHORIZATION FOR

FINANCIAL TRANSACTIONS

Commissioner for Patents Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

	IDS is required.
[X]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[X] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §
	1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
[]	Check in the amount of \$ for the total fee is attached.
ñ	Authorization to charge a credit card for the total fee is attached.
[X]	A return receipt postcard is also enclosed.
	Respectfully submitted,

In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the

Dated: \_\_\_\_\_

By:

Duane S. Kobayash Reg. No. 41122

Law Office of Duane S. Kobayashi 1325 Murray Downs Way Reston, VA 20194

Tel: 703-464-7902 Fax: 703-935-0276 Attorney Docket No: U001 (BPAY.0040001)

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

**Timothy Robinson** 

Serial No.:

09/765,789

Examiner:

Paul A. Bell

Confirmation No.:

1385

Art Unit:

3628

Filed:

01/19/2001

For:

SYSTEM AND METHOD FOR BIOLOGICAL AUTHORIZATION FOR

FINANCIAL TRANSACTIONS

Commissioner for Patents Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

[X]	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A is enclosed.
	Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
0	No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No to which the above-identified application claims priority under 35 U.S.C. §120.
[]	Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to application serial no and mailed on
	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the

Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.

which cites such non-English language publication(s).

M.P.E.P., Applicant submits the attached foreign search or examination report,

-	
Please type a plus sign (+) inside this box +	+
•	

E 10 W					Complete if Known -		
AND O S TOUS SEED					Application Number	09/765,789	
2 2 2 E				SCLOSURE	Filing Date	01/19/2001	
We. a	STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	ROBINSON	
`		(use as many sheets as necessary)			Group Art Unit	3628	
TENT & TRAD		(use as many s	neeis a	s necessary)	Examiner Name	Paul A. Bell	
Sh	eet	1	of .	1	Attorney Docket Number	U001 (BPAY.0040001)	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent	Document	Name of Patentee or Applicant of Cited	Date of Publication of Cited			
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Document MM-YYYY			
	Al	20050144133	Al	Hoffman et al.	06-2005			
				`				
	-							

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite		Foreign Patent Do	cument	Name of Patentee or Applicant of Cited of	Date of Publication	
	No.1	Office <sup>3</sup> Code <sup>5</sup>	Number <sup>4</sup>	Kind (if known)		of Cited Document MM-YYYY	T <sup>6</sup>
-				-		<u> </u>	<u> </u>
<del></del>							
		<del>                                     </del>					<del> </del>
	†	<del>                                     </del>				<del> </del>	ļ

Examiner	Date			
Signature	 Considered	<u>.</u>	_	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.

See attached Kinds of U.S. Patent Documents.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

Appl. No.: 10/142,687 Inventor: Timothy Robinson Page 2 of 2

[] The Examiner's attention is directed to co-pending patent Application Serial No., filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of a final action under 37 C.F.R. §1.113; a notice of allowance under 37 C.F.R. §1.311; or an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- [] Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- [X] Statement as specified in 37 C.F.R. §1.97(e):
  - [] Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
  - [X] No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Dated: SISIO

By:

Duane S. Kobayashi

Reg. No. 41122

Law Office of Duane S. Kobayashi 1325 Murray Downs Way Reston, VA 20194

Tel: 703-464-7902

Fax: 703-935-0276